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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
David C. CUSHING et al. ) Examiner: (Unknown)  
Serial No. 09/699,503 ) Group Art Unit: 2164  
Filed: October 31, 2000 ) February 7, 2002  
For: SYSTEM AND METHOD FOR )  
EXECUTING STRATEGY )  
SECURITY TRADING )

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

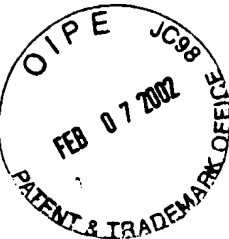
Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant submits herewith copies of publications that the Office may wish to consider in examination of the subject application. The publications are listed on the attached form PTO-1449.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

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